IAP3 Rac'd PCT/FTO 26 MAR 2006

FORM PTQ-1390 (REV 02-2005) ATTORNEY DOCKET NUMBER 4126-4037 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (L'known aco 374CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) TBALO / **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION INTERNATIONAL FILING DATE PCT/GB2004/003847 9 September 2004 9 September 2003 TITLE OF INVENTION NEW ANTITUMORAL COMPOUNDS APPLICANTS FOR DO/EO/US Ariadna Fernandez Donis, Ernest Giralt Lledó, Carolina Gracia Cantador, Pilar López Rodríguez, Sonia Varon Colomer, Carmen Cuevas Marchante, Ángel López Macia, Andrés Francesch Solloso, José-Carlos Jiménez Garcia, Miriam Royo Expósito, and Fernando Albericio Palomera Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and 3. (21) indicated below. \boxtimes The US has been elected. (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.
is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c.
is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International application as filed (35 U.S.C. 371(c)(2)). b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.

are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Forms PTO-1449 A and PTO-1449 B, with a copy of all cited references. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. \square 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. Other items or Information:

Copies of: PCT Request; Form PCT/IB/311; published PCT application - Publication number WO 2005/023846 A1, published on 17 March

2005; International Search Report published on 17 March 2005.

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U.S. APPLICATION NO TO	MATERIA DE LA COMPANSIONE DEL COMPANSIONE DE LA	INTERNATIONAL APPL	ICATION NO.		ATTORNEY'S DOCKET NO.	
TBA	U.S. APPLICATION OF UPPEN TO COLUMN			4126-4037		
		1			CALCULATIONS	PTO USE ONLY
The following fees have been submitted 21. Basic national fee\$300					\$300	
22. Examination fee If International preliminary examination report prepared by USPTO and all						
		of PCT Article 33(1)-				
All other	situations				\$200	
23. Search fee)) has been paid on th	e international an	nlication to		
the (USPTO as an Internat	ional Searching Author	ority	\$100	•	
		epared and provided to			\$400	·
711 01101						
Additional fee	TOTAL OF 21	drawings filed in pap	er over 100 sheets		\$900	
(excluding sec	uence listing or comp	outer program listing f	filed in an electror	iic		
medium). The		additional 50 sheets of Number of each addition		tnereor.		
Total Sheets	Extra Sheets	thereof (round up to a w	hole number)	RATE		
- 100 =	/50 =	oath or declaration lat	tor than 20 manths	x \$250.00	\$	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATI	3	\$	
Total claims	15 - 20 =		x \$50.		\$	
Independent claims	2 - 3=		x \$200	.00	\$	
MULTIPLE DEPEN	DENT CLAIM(S) (if ap	plicable)	+ \$360	.00	\$360	
			F ABOVE CALCU		\$1,260	
Applicant clair		. See 37 CFR 1.27. TI	he fees indicated a	bove are	\$	
SUBTOTAL =				\$1,260		
		the English translation	on later than 30 mo		\$	
the earliest claimed priority date (37 CFR 1.492(i)).					\$1,260	
Fee for recording the	TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be					
		eet (37 CFR 3.28, 3.3			\$	·
TOTAL FEES ENCLOSED =					\$1,260	1
					Amount to be refunded:	s
					Amount to be	
					charged:	\$
a. ☐ A check in the amount of \$ to cover the above fees is enclosed. b. ☒ Please charge my Deposit Account No. 13-4500 (Order No. 4126-4037) in the amount of \$1,260 to cover the above fees.						
b. Please charge my Deposit Account No. 13-4500 (Order No. 4126-4037) in the amount of \$1.260 to cover the above fees. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to						
		der No. 4126-4037). A				
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and						
granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:						
Enter Address Here					Merhall A.	Lills
Morgan & Finnegan, L.L.P.						
3 World Financial Center New York, New York 10281-2101 Kenneth H. Sonnenfeld				H. Sonnenfeld	// Michael A. Willis	
Tel: (212) 415-8700 Name Fax: (212) 415-8701						
<u>33285 // 53,913</u>			men.			
REGISTRATION NUMBER						

Form PTO-1390 (REV 12-2004)

Docket No. 4126-4037

IN THE UNITED STATES

☐ RECEIVIAFOTO (C) ☐ DESIGNATED OFFICE (DO/O ☐ ELECTED OFFICE (EO/US)	US)	MAIN	2000
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INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/GB2004/003847	9 September 2004	9 September 2003	
TITLE OF INVENTION			
NEW ANTITUMORAL COMPOUNDS	_		
APPLICANT(S)			
Ariadna Fernandez Donis, Ernest Giralt Lled			
Carmen Cuevas Marchante, Ángel López Ma	cia, Andrés Francesch Solloso, José-Carlos	Jiménez Garcia, Miriam Royo	
Expósito, and Fernando Albericio Palomera			

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attn: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE (INTERNATIONAL APPLICATION (37 CFR 1.10(c))

I declare that on March 6, 2006 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EV 826876202 US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and having an express mail certification which I executed, the following papers:

- 1. Transmittal Letter To The United States Designated/Elected Office;
- 2. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- 3. Information Disclosure Statement under 37 CFR 1.97 and 1.98, Forms PTO-1449 A and PTO-1449 B, with copy of all cited references (5 references)
- 4. Copies of: PCT Request; Form PCT/IB/311; published PCT application publication number WO 2005/023846 A1, published on 17 March 2005;
- 5. International Search Report published 17 March 2005; and
- 6. Return receipt postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

	Michael Willis
March 6, 2006	(Typed or printed name of person making this verified statement)
Date	(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])